

jc843 U.S. PTO  
11/01/01

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002, OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|   |  |   |  |
|---|--|---|--|
| <b>APPLICATION ELEMENTS</b>   |  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |  |
| See MPEP chapter 600 concerning utility patent application contents.  |  |   |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small>   |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)  |  |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27.</small>   |  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small>  |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <b>59</b> ]<br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> |  | a. <input type="checkbox"/> Computer Readable Form (CRF)  |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>28</b> ]   |  | b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> |  |
| 5. Oath or Declaration [Total Pages <b>3</b> ] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/> <small>(for continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li> </ul> </li> </ul>  |  | c. <input type="checkbox"/> Statements verifying identity of above copies   |  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
|   |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of<br><small>(when there is an assignee)</small> Attorney                                     |  |
|   |  | 11. <input type="checkbox"/> English Translation Document (if applicable)   |  |
|   |  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS<br><small>Citations</small>   |  |
|   |  | 13. <input type="checkbox"/> Preliminary Amendment  |  |
|   |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small>  |  |
|   |  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>  |  |
|   |  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. <b>POST CARD RECEIPT</b>                     |  |
|   |  | 17. <input checked="" type="checkbox"/> Other: _____  |  |

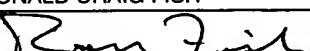
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

|  |  |   |           |  |    |
|--|--|---|-----------|--|----|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <div style="display: flex; align-items: center; margin-top: 5px;"> <div style="border: 1px solid black; width: 150px; height: 20px; margin-right: 10px;"></div> <span style="margin-right: 10px;">or</span> <input type="checkbox"/> Correspondence address below         </div> |  |   |           |  |    |
| (Insert Customer No. or Bar Code Label here)   |  |   |           |  |    |
| <b>26717</b>   |  |   |           |  |    |
| RONALD CRAIG FISH, A LAW CORPORATION   |  |   |           |  |    |
| Name   |  | RONALD CRAIG FISH   |           | 26717                                    |    |
| Address  |  | RONALD CRAIG FISH, A LAW CORPORATION<br>POST OFFICE BOX 2258                        |           |  |    |
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| Country  |  | US  | Telephone | Zip Code 95038<br>Fax 408-778-3624       |    |
| Name (Print/Type)  |  | RONALD CRAIG FISH   |           | Registration No. (Attorney/Agent) 28,843 |    |
| Signature  |  |  |           | Date 11/11/01                            |    |

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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 550.00)

## Complete If Known

|                      |              |
|----------------------|--------------|
| Application Number   |              |
| Filing Date          | 11/01/01     |
| First Named Inventor | RAMACHANDRAN |
| Examiner Name        |              |
| Group Art Unit       |              |
| Attorney Docket No.  | COM-002.4D   |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 06-0932

Deposit Account Name Ronald Craig Fish, a Law Corporation

 Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.  
See 37 CFR 1.272.  Payment Enclosed: Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description               | Fee Paid |
|----------------------------|----------------------------|-------------------------------|----------|
| 101                        | 710                        | 201 355 Utility filing fee    | \$370.00 |
| 106                        | 320                        | 206 160 Design filing fee     |          |
| 107                        | 490                        | 207 245 Plant filing fee      |          |
| 108                        | 710                        | 208 355 Reissue filing fee    |          |
| 114                        | 150                        | 214 75 Provisional filing fee |          |
| SUBTOTAL (1) (\$ 370.00)   |                            |                               |          |

## 2. EXTRA CLAIM FEES

| Extra Claims         | Fee from below | Fee Paid |
|----------------------|----------------|----------|
| Total Claims 26      | -20** = 6 X 9  | = 54.00  |
| Independent Claims 6 | - 3** = 3 X 42 | = 126.00 |
| Multiple Dependent   |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103                        | 18                         | 203 9 Claims in excess of 20                                     |
| 102                        | 80                         | 202 40 Independent claims in excess of 3                         |
| 104                        | 270                        | 204 135 Multiple dependent claim, if not paid                    |
| 109                        | 80                         | 209 40 ** Reissue independent claims over original patent        |
| 110                        | 18                         | 210 9 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$ 180.00)   |                            |  |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$)        | Small Entity Fee Code (\$) | Fee Description   | Fee Paid          |
|-----------------------------------|----------------------------|---|-------------------|
| 105                               | 130                        | 205 65 Surcharge - late filing fee or oath  |                   |
| 127                               | 50                         | 227 25 Surcharge - late provisional filing fee or cover sheet                     |                   |
| 139                               | 130                        | 139 130 Non-English specification   |                   |
| 147                               | 2,520                      | 147 2,520 For filing a request for ex parte reexamination                         |                   |
| 112                               | 920*                       | 112 920* Requesting publication of SIR prior to Examiner action                   |                   |
| 113                               | 1,840*                     | 113 1,840* Requesting publication of SIR after Examiner action                    |                   |
| 115                               | 110                        | 215 55 Extension for reply within first month                                     |                   |
| 116                               | 390                        | 216 195 Extension for reply within second month                                   |                   |
| 117                               | 890                        | 217 445 Extension for reply within third month                                    |                   |
| 118                               | 1,390                      | 218 695 Extension for reply within fourth month                                   |                   |
| 128                               | 1,890                      | 228 945 Extension for reply within fifth month                                    |                   |
| 119                               | 310                        | 219 155 Notice of Appeal  |                   |
| 120                               | 310                        | 220 155 Filing a brief in support of an appeal                                    |                   |
| 121                               | 270                        | 221 135 Request for oral hearing  |                   |
| 138                               | 1,510                      | 138 1,510 Petition to institute a public use proceeding                           |                   |
| 140                               | 110                        | 240 55 Petition to revive - unavoidable   |                   |
| 141                               | 1,240                      | 241 620 Petition to revive - unintentional  |                   |
| 142                               | 1,240                      | 242 620 Utility issue fee (or reissue)  |                   |
| 143                               | 440                        | 243 220 Design issue fee  |                   |
| 144                               | 600                        | 244 300 Plant issue fee   |                   |
| 122                               | 130                        | 122 130 Petitions to the Commissioner   |                   |
| 123                               | 50                         | 123 50 Processing fee under 37 CFR 1.17(q)  |                   |
| 126                               | 180                        | 126 180 Submission of Information Disclosure Stmt                                 |                   |
| 581                               | 40                         | 581 40 Recording each patent assignment per property (times number of properties) |                   |
| 146                               | 710                        | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |                   |
| 149                               | 710                        | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |                   |
| 179                               | 710                        | 279 355 Request for Continued Examination (RCE)                                   |                   |
| 169                               | 900                        | 169 900 Request for expedited examination of a design application                 |                   |
| Other fee (specify) _____         |                            |   |                   |
| *Reduced by Basic Filing Fee Paid |                            |   | SUBTOTAL (3) (\$) |

| SUBMITTED BY      |                   | Complete (if applicable)          |          |                        |
|-------------------|-------------------|-----------------------------------|----------|------------------------|
| Name (Print/Type) | RONALD CRAIG FISH | Registration No. (Attorney/Agent) | 28,843   | Telephone 408-778-3624 |
| Signature         | Ronald Craig Fish | Date                              | 11/11/01 |                        |

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